

**Table 1 Cases Received-By Period**

Year & Month	Unit:case				
	Total	Complaints	Application for Concerted Action	Mergers	Requests for Explanation
Total	<b>37 007</b>	<b>27 589</b>	<b>168</b>	<b>6 570</b>	<b>2 680</b>
1992	1 296	1 039	12	13	232
1993	1 567	1 243	9	112	203
1994	2 020	1 499	11	262	248
1995	2 486	1 768	2	435	281
1996	2 234	1 636	12	334	252
1997	2 277	1 480	23	561	213
1998	2 444	1 335	13	863	233
1999	2 757	1 522	7	1 064	164
2000	2 697	1 372	12	1 187	126
2001	2 556	1 381	4	1 089	82
2002	1 387	1 186	8	132	61
2003	1 100	999	12	50	39
2004	1 148	1 058	2	33	55
2005	1 833	1 632	7	54	140
2006	1 440	1 306	9	79	46
2007	1 340	1 213	3	69	55
2008	1 507	1 404	6	61	36
2009	1 501	1 402	6	58	35
2010	1 299	1 206	-	45	48
2011	1 517	1 361	7	57	92
2012	601	547	3	12	39
Jan.	79	73	-	3	3
Feb.	131	115	1	1	14
Mar.	189	170	1	6	12
Apr.	202	189	1	2	10

**Table 2 Results of Complaints**

Unit:Case

Year & Month	Total	Decision	No-action Decision	Administrative Action	Termination of Review	Cases Combined
Total	<b>27 337</b>	<b>2 771</b>	<b>5 538</b>	<b>341</b>	<b>16 943</b>	<b>1 744</b>
1992	757	51	100	-	591	15
1993	1 094	79	199	7	749	60
1994	1 408	118	306	40	852	92
1995	1 643	158	280	75	997	133
1996	1 633	178	325	62	931	137
1997	1 662	206	315	34	912	195
1998	1 409	225	278	23	773	110
1999	1 453	154	281	17	912	89
2000	1 384	202	301	13	759	109
2001	1 404	192	252	6	825	129
2002	1 284	189	218	4	798	75
2003	1 056	123	195	3	659	76
2004	1 012	101	176	8	680	47
2005	1 541	97	225	11	1 114	94
2006	1 304	101	390	15	713	85
2007	1 304	112	342	2	762	86
2008	1 477	101	353	2	967	54
2009	1 456	140	352	3	909	52
2010	1 243	109	291	1	793	49
2011	1 346	110	278	15	898	45
2012	467	25	81	-	349	12
Jan.	69	5	19	-	44	1
Feb.	121	6	24	-	85	6
Mar.	149	9	18	-	119	3
Apr.	128	5	20	-	101	2

**Table 3 Application for Concerted Action Approval**

Unit:Case

Year & Month	Concluded Cases				
	Total	Approved	Refused	Partially Approved	Termination of Review
Total	164	122	10	11	21
1992	9	5	3	-	1
1993	9	7	1	-	1
1994	13	9	3	1	-
1995	1	-	-	1	-
1996	8	5	-	-	3
1997	18	11	-	1	6
1998	22	18	-	4	-
1999	8	4	2	-	2
2000	9	6	1	2	-
2001	6	3	-	-	3
2002	8	6	-	-	2
2003	14	12	-	2	-
2004	1	1	-	-	-
2005	6	5	-	-	1
2006	10	9	-	-	1
2007	4	4	-	-	-
2008	5	5	-	-	-
2009	6	6	-	-	-
2010	1	1	-	-	-
2011	4	3	-	-	1
2012	2	2	-	-	-
Jan.	-	-	-	-	-
Feb.	1	1	-	-	-
Mar.	1	1	-	-	-
Apr.	-	-	-	-	-

**Table 4 Results of Explanation Request**

Unit:Case

Year & Month	Total	Explanation & Inquiry-answering Cases	Termination of Review	Cases Consolidated
Total	<b>2,678</b>	<b>1,781</b>	<b>842</b>	<b>55</b>
1992	173	133	39	1
1993	220	164	53	3
1994	251	180	59	12
1995	279	157	109	13
1996	246	175	60	11
1997	238	148	86	4
1998	235	156	76	3
1999	169	108	60	1
2000	129	89	39	1
2001	84	52	32	-
2002	71	45	24	2
2003	36	28	7	1
2004	55	40	14	1
2005	138	64	73	1
2006	47	35	12	-
2007	58	39	19	-
2008	36	29	7	-
2009	34	25	9	-
2010	50	34	16	-
2011	89	55	33	1
2012	40	25	15	-
Jan.	5	4	1	-
Feb.	10	2	8	-
Mar.	14	11	3	-
Apr.	11	8	3	-

**Table 5 Mergers**

Year & Month	Total	Approved Or Mergers not prohibited	Refused Or Merger prohibited	Termination of Review	Unit:Case
					Cases Combined
Total	<b>6 567</b>	<b>6 200</b>	<b>10</b>	<b>331</b>	<b>26</b>
Application for Merger Approval	<b>6 009</b>	<b>5 904</b>	<b>3</b>	<b>77</b>	<b>25</b>
1992	8	6	-	2	-
1993	113	107	-	4	2
1994	259	259	-	-	-
1995	429	426	-	-	3
1996	340	339	-	1	-
1997	537	535	-	1	1
1998	855	843	-	9	3
1999	1 045	1 032	-	9	4
2000	1 206	1 177	3	18	8
2001	1 118	1 087	-	29	2
2002 Jan.-Feb. Merger Notifications	99	93	-	4	2
2002 Feb.Dec.	<b>558</b>	<b>296</b>	<b>7</b>	<b>254</b>	<b>1</b>
2003	42	24	1	17	-
2004	50	31	-	19	-
2005	31	18	-	13	-
2006	54	34	-	20	-
2007	77	34	-	42	1
2008	67	37	1	29	-
2009	65	36	2	27	-
2010	57	27	2	28	-
2011	44	19	1	24	-
2012	60	28	-	32	-
2012	11	8	-	3	-
Jan.	2	1	-	1	-
Feb.	3	2	-	1	-
Mar.	2	2	-	-	-
Apr.	4	3	-	1	-

Note1 : On February 6, 2002, the Fair Trade Act was amended to change the Merger Application into Merger Notification. Since then the approval of merger applications was referred as merger not prohibited, and the reject of merger applications was re-named as merger prohibited.

Note2 : A merger prohibited in 2007 was abolished by the Executive Yuan in 2008, and another merger prohibited in 2008 was abolished by the Executive Yuan in 2009.

By merger, an enterprise may expand its business scale to increase the efficiency of operation and gain advantages from the economic scale. However, expanding the scale of an enterprise may raise concentration of a market and weaken or even impede competition. To avoid the undesired results, the Fair Trade Law requires an enterprise whose scale reaches certain level to obtain the FTC’ s prior approval for its merger with others. In February 2002, to respond to the ROC’ s economic development and international trend, the “ pre-merger approval application ” regulations in the Fair Trade Law was amended to the “ pre-merger notification ” system, merger threshold was loosened and changed into a dual thresholds model, in terms of financial sectors and non-financial sectors.

**Table 6 Mergers — By Type of merger Pattern**

Unit: Case

Year & Month	Cases of Merger Approved & not Prohibited	By Type of Merger Pattern (Per Paragraph 1, Article 6, Fair Trade Law)				
		Subpara.1	Subpara.2	Subpara.3	Subpara.4	Subpara.5
Total	6 200	128	387	170	52	5 640
1992	6	3	1	2	-	-
1993	107	3	9	1	-	94
1994	259	5	10	4	-	240
1995	426	4	12	2	1	408
1996	339	3	14	3	6	314
1997	535	5	11	10	5	506
1998	843	3	23	16	15	791
1999	1 032	6	14	14	-	998
2000	1 177	26	16	59	1	1 081
2001	1 087	23	59	24	2	997
2002	117	6	23	2	-	93
2003	31	9	18	4	1	5
2004	18	3	13	2	-	4
2005	34	6	23	4	3	12
2006	34	6	25	1	2	22
2007	37	4	21	8	4	18
2008	36	2	29	4	4	14
2009	27	7	20	3	-	13
2010	19	-	15	3	2	10
2011	28	4	24	2	5	16
2012	8	-	7	2	1	4
Jan.	1	-	1	-	-	1
Feb.	2	-	1	1	-	1
Mar.	2	-	2	-	-	-
Apr.	3	-	3	1	1	2

Note: Figures may add to exceed the total because a merger may be classified as more than one category.

## Decision Ruling by FTC -By Pattern of Action against Fair Trade Law

Year & Month	Cases of Decision	Restrictive Business Practice						
		Subtotal	Monopolies (Article 10)	Merger (Article 11)	Concerted Actions (Article 14)	Resale Price Maintenance (Article 18)	Impeding fair Competition (Article 19)	
Total	3,655	380	9	48	166	40	127	
1992	64	18	-	-	4	10	8	
1993	93	13	-	3	-	3	7	
1994	157	7	-	1	2	1	3	
1995	179	24	-	-	5	2	19	
1996	205	24	-	4	5	4	11	
1997	233	22	-	2	10	2	8	
1998	253	21	-	4	8	2	8	
1999	170	26	-	8	11	-	7	
2000	226	34	2	2	21	1	8	
2001	212	18	1	1	14	-	3	
2002	218	17	4	1	9	1	3	
2003	187	23	-	1	10	2	10	
2004	135	13	-	4	5	-	4	
2005	141	15	1	-	10	2	2	
2006	175	19	-	3	9	3	4	
2007	184	15	-	4	7	3	1	
2008	169	15	-	4	9	-	2	
2009	183	18	1	4	8	3	2	
2010	155	12	-	1	6	-	5	
2011	272	19	-	1	8	1	10	
2012	44	7	-	-	5	-	2	
Jan.	7	1	-	-	1	-	-	
Feb.	8	2	-	-	1	-	1	
Mar.	17	3	-	-	2	-	1	
Apr.	12	1	-	-	1	-	-	
Year & Month	Unfair Trade Practice						Improper Multi-level Sales (Article 23 & Articles 23-1 ~23-4)	Others (2nd Part of Articles 41 & 43)
	Subtotal	Counterfeiting Commodities or Trademarks (Article 20)	False, Untrue and Misleading Advertisement (Article 21)	Damage to Business Reputation (Article 22)	Deceptive or Obviously Unfair Action (Article 24)			
Total	2,678	34	1,669	18	1,030	532	109	
1992	45	1	43	-	1	4	-	
1993	65	-	55	-	13	11	6	
1994	128	-	82	3	53	15	8	
1995	133	3	93	1	40	16	7	
1996	170	1	110	1	60	5	8	
1997	183	2	109	3	74	20	13	
1998	192	7	101	1	85	27	23	
1999	128	-	71	1	60	15	3	
2000	170	5	79	1	87	24	1	
2001	154	5	51	-	102	35	6	
2002	145	1	60	-	85	55	1	
2003	133	2	46	-	88	31	5	
2004	82	2	48	1	32	38	4	
2005	84	2	62	-	26	39	3	
2006	139	-	95	2	47	18	-	
2007	137	1	88	-	50	29	6	
2008	118	1	93	-	28	32	4	
2009	147	1	120	4	28	18	1	
2010	119	-	89	-	32	22	2	
2011	180	-	151	-	35	69	6	
2012	26	-	23	-	4	9	2	
Jan.	3	-	2	-	1	2	1	
Feb.	5	-	5	-	-	-	1	
Mar.	10	-	9	-	2	4	-	
Apr.	8	-	7	-	1	3	-	

Notes:1. The cases of decisions under each category may add up to exceed the total decisions because a case may involve more than one illegal action.

2. The number of decision cases including complaints and FTC self-initiated investigation cases.

## Services Provided by FTC Service Center

Unit : Case

Year & Month	Total	Explanation of Fair Trade Act	Provision of Educational Materials	Explanation of Application procedures	Handliiong Comments and Input from public
Total	276,900	238,864	16,266	11,024	10,746
1992	11,012	8,180	2,111	330	391
1993	9,736	7,853	833	530	520
1994	13,452	11,810	928	422	292
1995	14,085	12,177	1,107	591	210
1996	15,846	13,232	1,547	726	341
1997	15,700	13,658	1,075	688	279
1998	12,978	11,161	928	511	378
1999	12,858	10,966	863	493	536
2000	14,464	12,552	590	730	592
2001	14,073	12,425	533	544	571
2002	17,572	15,865	608	533	566
2003	16,832	14,431	736	502	1,163
2004	15,539	13,696	843	453	547
2005	15,882	14,403	587	412	480
2006	14,074	12,466	576	500	532
2007	13,570	11,912	576	491	591
2008	12,208	10,698	519	373	618
2009	12,120	10,468	440	533	679
2010	10,655	9,004	429	590	632
2011	10,782	9,082	315	678	707
2012	3,462	2,825	122	394	121
Jan.	670	547	21	87	15
Feb.	814	683	26	85	20
Mar.	1,030	834	36	111	49
Apr.	948	761	39	111	37